



**CONGRESS OF NEUROLOGICAL SURGEONS SYSTEMATIC REVIEW AND  
EVIDENCE-BASED GUIDELINE ON INTRAOPERATIVE CRANIAL NERVE  
MONITORING IN VESTIBULAR SCHWANNOMA SURGERY**

**Sponsored by:** Congress of Neurological Surgeons (CNS) and the Section on Tumors

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Surgeons (AANS) and the Congress of Neurological Surgeons (CNS)

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### **Abbreviations**

ABR: Auditory brainstem response

CMAP: Compound muscle action potential

DENM: Direct eighth cranial nerve monitoring

EMG: Electromyogram

FN: Facial nerve

GR: Gardner–Robertson facial function grading system

HB: House–Brackmann facial function grading system

ICNM: Intraoperative cranial nerve monitoring

NF: Neurofibromatosis

PPV: Positive predictive value

PTA: Pure tone average

SMS: Supramaximal stimulation

SRS: Speech recognition score

SRT: Speech reception threshold

VS: Vestibular schwannoma

WRS: Word recognition score

### **ABSTRACT**

#### **Facial Nerve Monitoring**

##### **Question 1**

Does intraoperative facial nerve monitoring during vestibular schwannoma surgery lead to better long-term facial nerve function?

##### **Target population**

This recommendation applies to adult patients undergoing vestibular schwannoma surgery regardless of tumor characteristics.

##### **Recommendation**

*Level 3:* It is recommended that intraoperative facial nerve monitoring be routinely utilized during vestibular schwannoma surgery to improve long-term facial nerve function.

#### **Question 2**

Can intraoperative facial nerve monitoring be used to accurately predict favorable long-term facial nerve function after vestibular schwannoma surgery?

#### **Target population**

This recommendation applies to adult patients undergoing vestibular schwannoma surgery.

#### **Recommendation**

*Level 3:* Intraoperative facial nerve monitoring can be used to accurately predict favorable long-term facial nerve function after vestibular schwannoma surgery. Specifically, the presence of favorable testing reliably portends a good long-term facial nerve outcome. However, the absence of favorable testing in the setting of an anatomically intact facial nerve does not reliably predict poor long-term function and therefore cannot be used to direct decision-making regarding need for early reinnervation procedures.

#### **Question 3**

Does an anatomically intact facial nerve with poor electromyogram electrical responses during intraoperative testing reliably predict poor long-term facial nerve function?

#### **Target population**

This recommendation applies to adult patients undergoing vestibular schwannoma surgery.

#### **Recommendation**

*Level 3:* Poor intraoperative electromyogram electrical response of the facial nerve should not be used as a reliable predictor of poor long-term facial nerve function.

### **Cochlear Nerve Monitoring**

#### **Question 4**

Should intraoperative eighth cranial nerve monitoring be used during vestibular schwannoma surgery?

#### **Target population**

This recommendation applies to adult patients undergoing vestibular schwannoma surgery with measurable preoperative hearing levels and tumors smaller than 1.5 cm.

#### **Recommendation**

*Level 3:* Intraoperative eighth cranial nerve monitoring should be used during vestibular schwannoma surgery when hearing preservation is attempted.

**Question 5**

Is direct monitoring of the eighth cranial nerve superior to the use of far-field auditory brain stem responses?

**Target population**

This recommendation applies to adult patients undergoing vestibular schwannoma surgery with measurable preoperative hearing levels and tumors smaller than 1.5 cm.

**Recommendation**

*Level 3:* There is insufficient evidence to make a definitive recommendation.

1 **INTRODUCTION**

2 *Rationale*

3 The surgical management of VSs has experienced a significant evolution since its  
4 inception by Harvey Cushing, MD, and other early pioneering surgeons of the late  
5 nineteenth and early twentieth centuries. In the 1960s, further progress was made with the  
6 implementation of the operating microscope and surgical drill, the use of which is largely  
7 credited to William House, MD. The coadvancement of surgical techniques and  
8 technology has led to a significant decline in the morbidity and mortality profile of VS  
9 surgery. Today, the neurologic deficits once considered acceptable sequelae are no longer  
10 commonplace, and mortality from surgery is reported at <1% when performed by  
11 experienced surgical teams.<sup>1</sup>

12  
13 Early on, facial paralysis and deafness were thought to be inevitable and acceptable  
14 consequences of tumor resection, particularly because most patients were diagnosed with  
15 large and often life-threatening tumors. In today's practice, however, the expectation is to  
16 preserve facial function in the vast majority of cases. As a result, patient quality of life  
17 following VS surgery has improved, and the sequelae of ophthalmologic complications  
18 and the need for invasive dynamic facial rehabilitation procedures have been reduced. A  
19 systematic review of the literature published in 2010 found an overall microsurgical FN  
20 preservation rate of 74%.<sup>2</sup> The value placed in preserving seventh cranial nerve  
21 functional integrity is high and can motivate subtotal resection in select large tumors,  
22 with or without the use of postoperative radiation therapy.

23  
24 Hearing preservation surgery is the latest chapter in the evolution of VS management.  
25 The advent and widespread availability of contrast-enhanced magnetic resonance  
26 imaging has allowed for earlier diagnosis, producing a population of patients with smaller  
27 tumors and better baseline hearing. Patients with small- to medium-sized tumors and  
28 serviceable hearing are now being offered hearing preservation surgery at higher rates  
29 than ever before. Whereas the translabyrinthine approach commits the patient to  
30 permanent ipsilateral deafness, the retrosigmoid and middle fossa approaches offer  
31 opportunities to preserve acoustic function in select tumors. Currently, postoperative FN

32 function and hearing preservation are 2 primary benchmarks consistently reported by  
33 high-volume VS surgical centers. These 2 measures have been enhanced significantly by  
34 improvements in surgical technique and the development and refinement of  
35 intraoperative cranial nerve monitoring (ICNM).

36

37 Delgado et al<sup>3</sup> introduced ICNM of the facial nerve in the late 1970s, which has become a  
38 mainstay for most VS surgeons.<sup>2</sup> A consensus statement published by the National  
39 Institutes of Health in the 1990s recommended the routine use of neuromonitoring during  
40 VS surgery.<sup>4</sup> The existing literature on this subject primarily consists of large  
41 retrospective case series from high-volume surgical centers – large prospective  
42 comparative studies are generally lacking. Furthermore, heterogeneous reporting and the  
43 use of inconsistent electroprognostic testing parameters are variable, rendering interstudy  
44 comparisons challenging. Recently these parameters have garnered a more elaborate role.  
45 Whereas the initial role of ICNM was for the identification and intraoperative mapping of  
46 the FN, there is a new focus on electrical factors that could potentially serve as  
47 electroprognostic indicators of long-term facial function. The utility of testing in this  
48 manner may have a profound impact on how to counsel patients with immediate  
49 postoperative paresis and an anatomically preserved FN. In addition, it would offer the  
50 treating physician an objective basis for proceeding with watchful waiting and  
51 conservative measures versus a recommendation that a patient undergo early dynamic  
52 facial reanimation procedures. An example would be timing VS surgery with  
53 hypoglossal-facial anastomosis (where an earlier intervention is associated with improved  
54 functional outcomes), as opposed to enrolling the patient into an observation period for  
55 spontaneous recovery that can last anywhere from 12 to 18 months.

56

57 In contrast to facial nerve monitoring, the role of ICNM for hearing preservation is less  
58 well defined and is not uniformly used. This may be the result of a more technically  
59 challenging and cumbersome process than what is required with FN monitoring. It may  
60 also have to do with differences in treatment philosophy for smaller tumors between  
61 surgeons and between centers.

62

63 There is currently a need to assess the existing literature for VS surgery outcomes,  
64 specifically as it relates to the use of ICNM and its impact on postoperative FN function  
65 and hearing preservation.

### 66 *Objectives*

67 The objective of this systematic review is to critically assess the existing literature and  
68 provide an evidence-based clinical practice guideline regarding the use of ICNM during  
69 VS surgery. Specifically, this systematic review focuses on intraoperative monitoring  
70 techniques and eletroprognostic parameters as they relate to posttreatment function of the  
71 seventh and eighth cranial nerves.

## 72 **METHODS**

### 73 *Process Overview*

74 The evidence-based clinical practice guideline taskforce members and the Tumor Section  
75 of the American Association of Neurological Surgeons and the Congress of Neurological  
76 Surgeons (CNS) conducted a systematic review of the literature relevant to the  
77 management of VSs. The PubMed, Embase, and Web of Science databases were queried.  
78 The keywords used during our search of the medical literature databases cited above are  
79 documented in Tables 1 and 2. Additional details of the systematic review are provided  
80 below and within the introduction and methodology chapter of the guideline  
81 ([https://www.cns.org/guidelines/guidelines-management-patients-vestibular-](https://www.cns.org/guidelines/guidelines-management-patients-vestibular-schwannoma/chapter_1)  
82 [schwannoma/chapter\\_1](https://www.cns.org/guidelines/guidelines-management-patients-vestibular-schwannoma/chapter_1)).

### 83 *Article Inclusion/Exclusion Criteria*

84 Citations were manually reviewed by the team with specific inclusion and exclusion  
85 criteria as outlined below. The duplicates from the search were eliminated. Two  
86 independent reviewers reviewed and abstracted full-text data for each article, and the 2  
87 sets of data were compared for agreement by a third party. Inconsistencies were re-  
88 reviewed and disagreements were resolved by consensus. The evolution of the article  
89 selection is illustrated with flow diagrams (Figures 1 and 2). All citations that focused on  
90 adult patients and surgical treatment of VSs were broadly considered. For literature to be  
91 included for further consideration, papers had to meet the following criteria:

92 **General**

- 93 • Investigated patients suspected of having vestibular schwannomas
- 94 • Was of humans
- 95 • Was not an in vitro study
- 96 • Was not a biomechanical study
- 97 • Was not performed on cadavers
- 98 • Published between January 1, 1990 and December 31, 2014
- 99 • Published in a peer-reviewed journal
- 100 • Was not a meeting abstract, editorial, letter, or commentary
- 101 • Was published in English
- 102 • Included quantitatively presented results

103

104 **Specific**

- 105 • Used an established FN function grading system, such as the House–  
106 Brackmann (HB)<sup>5</sup> scale or the Sunnybrook (SB)<sup>6</sup> scale.
- 107 • Used the 1995 American Academy of Otolaryngology-Head and Neck  
108 Surgery (AAO-HNS)<sup>7</sup> or Gardner–Robertson (GR)<sup>8</sup> hearing classification  
109 system OR presented data using word recognition score (WRS) and pure tone  
110 average (PTA) for defining hearing status or had individual patient data  
111 presented such that the latter criteria could be applied and analyzed
- 112 • Included pre- and postoperative audiometric data
- 113 • Included a median or mean follow-up of 12 months following treatment when  
114 assessing long-term facial outcomes
- 115 • Included only studies evaluating intraoperative electrophysiological testing of  
116 the facial and cochlear nerves
- 117 • Used electrically evoked testing with EMG
- 118 • NF status was collected when available but was not an exclusion criterion

119

120 The authors did not include systematic reviews, guidelines, or meta-analyses  
121 conducted by others. These documents were developed using different inclusion

122 criteria than those specified in our guideline. Therefore, they may have included  
123 studies that do not meet the inclusion criteria listed above. These documents were  
124 recalled if their abstract suggested that they might address one of the recommendations  
125 set forth in this guideline. The authors searched their bibliographies for additional  
126 studies.

### 127 ***Search Strategies***

128 The task force collaborated with a medical librarian to search for articles published  
129 between January 1, 1990 and December 31, 2014. Three electronic databases were  
130 searched: PubMed, EMBASE, and Web of Science. Strategies for searching electronic  
131 databases were constructed by the evidence-based clinical practice guideline taskforce  
132 members and the medical librarian using previously published search strategies to  
133 identify relevant studies (Tables 1 and 2).

134

135 Searches of electronic databases were supplemented with manual screening of the  
136 bibliographies of all retrieved publications. Bibliographies of recent systematic reviews  
137 and other review articles for potentially relevant citations were also searched. All articles  
138 identified were subject to the study selection criteria listed above. The guideline  
139 committee also examined lists of included and excluded studies for errors and omissions.  
140 The guideline task force went to great lengths to obtain a complete set of relevant articles  
141 to ensure guideline recommendations are not based on a biased subset of articles. Two  
142 datasets were constructed, one for FN monitoring and another for cochlear nerve  
143 monitoring.

### 144 ***Facial Nerve Monitoring***

145 The search of the 3 above mentioned databases yielded a total of 2853 candidate articles.  
146 One thousand nine hundred and eighty-four remained after duplicates were removed and  
147 date range criteria were applied. The abstracts were reviewed, and after the  
148 aforementioned general and specific inclusion/exclusion criteria were applied, 21 articles  
149 remained and were included in the final analysis (Table 1, Figure 1).

150

### 151 ***Cochlear Nerve Monitoring***

152 The search of the 3 abovementioned databases yielded a total of 1849 articles. Eight  
153 hundred and three remained after duplicates were removed and date range criteria  
154 applied. The abstracts were reviewed, and after the aforementioned general and specific  
155 exclusion criteria were applied, 7 articles remained and were included in the final  
156 analysis (Table 2, Figure 2).

### 157 ***Data Analysis***

158 Evidence tables for the use of intraoperative cochlear nerve monitoring and FN  
159 monitoring were constructed using key study parameters as outlined above.

160

161 *Facial Nerve:* Data extraction included study design, level of evidence, total number of  
162 patients, pre- and posttreatment facial function, study selection parameters, tumor  
163 characteristics, mean or median follow-up, neurofibromatosis type 2 status, and  
164 prognostic parameters associated with short- and long-term facial function.

165

166 *Cochlear Nerve:* Data extraction included study design, level of evidence, total number  
167 of patients, pre- and posttreatment hearing status, study selection parameters, tumor  
168 characteristics, mean or median follow-up, neurofibromatosis type 2 status, and  
169 prognostic features associated with postoperative hearing preservation.

### 170 ***Classification of Evidence and Guideline Formulation***

171 The concept of linking evidence to recommendations has been further formalized by the  
172 American Medical Association (AMA) and many specialty societies, including the  
173 American Association of Neurological Surgeons (AANS), the CNS, and the American  
174 Academy of Neurology (AAN). This formalization involves the designation of specific  
175 relationships between the strength of evidence and the strength of recommendations to  
176 avoid ambiguity. In the paradigm for prognostication used in this guideline, evidence is  
177 classified into 1 of 3 tiers based on the degree at which the study fulfills the 5 technical  
178 criteria listed below:

- 179 • Was a well-defined representative sample of patients assembled at a common  
180 (usually early) point in the course of their disease?
- 181 • Was patient follow-up sufficiently long and complete?

- 182       • Were objective outcome criteria applied in a “blinded” fashion?  
183       • If subgroups with different prognoses were identified, was there adjustment for  
184       important prognostic factors?  
185       • If specific prognostic factors were identified, was there validation in an  
186       independent “test set” group of patients?

187

188 Class I evidence is used to support recommendations of the strongest type, defined as  
189 Level 1 recommendations, and require that all 5 technical criteria are satisfied. Class II  
190 evidence supports intermediate strength recommendations, defined as level 2  
191 recommendations, and requires that 4 of the 5 technical criteria be met. Class III evidence  
192 supports Level 3 recommendations, comprising all remaining studies that satisfy  $\leq 3$  of  
193 the 5 technical criteria. A basis for these guidelines can be viewed in Haines SJ and  
194 Nicholas JS (2006). Evidence-Based Medicine: A Conceptual Framework. In Haines SJ  
195 and Walters BC (Eds.), *Evidence-Based Neurosurgery: An Introduction* (Pages 1-17).  
196 New York: Thieme Medical Publishers.

## 197 **RESULTS**

### 198 ***FACIAL NERVE MONITORING***

#### **Question 1**

Does intraoperative facial nerve monitoring during vestibular schwannoma surgery lead to better long-term facial function?

#### **Target population**

This recommendation applies to all adult patients undergoing vestibular schwannoma surgery regardless of tumor characteristics.

#### **Recommendation**

*Level 3:* It is recommended that intraoperative facial nerve monitoring be routinely utilized during vestibular schwannoma surgery to improve long-term facial nerve function.

## 199 **STUDY SELECTION AND CHARACTERISTICS**

200 A total of 2853 candidate studies were screened and assessed for eligibility per the  
201 previous criteria, and 21 studies were included in the final review.<sup>9-29</sup> Postoperative FN

202 function with the use of intraoperative electrically evoked testing with EMG versus facial  
203 function in unmonitored surgery was the basis of the recommendations in this section. To  
204 be included as a part of this recommendation, a study had to provide a cohort of patients  
205 with assessment of pre- and postoperative FN function using an established FN function  
206 grading system, such as the HB scale or the SB scale. Furthermore, the method of  
207 intraoperative FN monitoring had to be clearly delineated with a comparison between  
208 monitored and unmonitored cohorts. Using these criteria, a final total of 3 studies were  
209 included for analysis (Table 3A).<sup>14,16,25</sup>

210

211 In cases where an authoring center published multiple papers that met these criteria, only  
212 the study with the largest number of subject patients was used to avoid duplicate  
213 reporting of patient data if the patient recruitment dates overlapped. Data extraction  
214 included study design, level of evidence, number of patients, tumor characteristics,  
215 method of ICNM, and long-term FN function.

## 216 **RESULTS OF INDIVIDUAL STUDIES, DISCUSSION OF STUDY**

### 217 **LIMITATIONS, AND RISK OF BIAS**

218 Three studies met the inclusion criteria for this recommendation.<sup>14,16,25</sup> All 3 studies  
219 represent Class III data, primarily due to lack of blinded assessment and the absence of a  
220 validation set. The key results of individual studies are outlined in evidence Table 3A and  
221 are summarized within the guideline recommendations. All 3 studies performed a  
222 retrospective analysis of postoperative FN function between unmonitored and monitored  
223 cohorts.

224

225 In 1994, Lenarz and Ernst<sup>16</sup> performed a retrospective review of 64 VS patients who  
226 underwent microsurgical resection by the same surgeon at a single institution between  
227 1986 and 1991. The goal of the study was to compare postoperative facial function  
228 between monitored ( $n = 30$ ) and unmonitored groups ( $n = 34$ ). The 2 groups were  
229 comparable with respect to tumor size, surgical time, and surgical approach (middle fossa  
230 or translabyrinthine). ICNM consisted of facial muscle EMG via needle electrodes, and  
231 electrical stimulation of the nerve was performed with bipolar forceps using constant  
232 current pulses of 100 microseconds ( $\mu$ s) and current strength between 0.05 and 0.8

233 milliamps (mA). The average tumor size in the monitored group was 1.5 cm ( $\pm$  0.5 cm)  
234 and in the unmonitored group was 1.7 cm ( $\pm$  0.7 cm). They could correlate intraoperative  
235 tonic (train) activity per hour of surgery, as well as postresection threshold current with  
236 immediate postoperative facial function. An increase in train activity and an increase in  
237 threshold current (mA) with decreasing wave amplitude at the end of the case correlated  
238 with worse immediate facial function. The lack of intraoperative stimulation at the end of  
239 the case was predictive of a complete immediate postoperative facial paralysis. The use  
240 of monitoring improved immediate and long-term FN outcomes ( $P < .05$ ). This was  
241 especially true in tumors  $>1.5$  cm: HB grade I to II at 1 year 87% (monitored) versus 74%  
242 (unmonitored); grade III to VI at 1 year 13% (monitored) versus 26% (unmonitored).

243

244 In 1993, Silverstein<sup>25</sup> performed a retrospective analysis of 121 VS patients who  
245 underwent microsurgical resection by the same surgeon at a single center between 1974  
246 and 1991. Postoperative facial function was assessed immediately and at  $>1$  year in  
247 monitored ( $n = 65$ ) and unmonitored cases ( $n = 56$ ). Surgery consisted of retrosigmoid  
248 and translabyrinthine approaches. EMG facial monitoring was applied using various  
249 techniques over the course of the study, in line with advancement in software and  
250 hardware developments. Electrical stimulation of the nerve was performed with insulated  
251 stimulator probe tips and insulated micro instruments. Electrical pulsed currents ranged  
252 from 0.05 to 3 mA. Facial function results were reported for the entire cohort and  
253 subanalyzed by surgical approach. Subgroup analysis for surgical approach found no  
254 statistical difference between the monitored and unmonitored groups. A distinction was  
255 made between the translabyrinthine group, subtotal versus total resection. The authors  
256 found statistically worse function after total tumor resection via the translabyrinthine  
257 approach when compared to subtotal resection via the translabyrinthine approach or  
258 retrosigmoid approach. There were more patients with the FN transected at surgery in the  
259 unmonitored group ( $P < .05$ ). Analysis of the entire cohort found that patients had  
260 statistically better facial function in the monitored group than in the unmonitored group  
261 ( $P < .02$ ) immediately and at 1-year follow-up. Assessment of both monitored and  
262 unmonitored groups found that large tumors ( $>3$  cm) had poorer FN outcomes when  
263 compared to small ( $<1.5$  cm) or medium-sized (1.5–3 cm) tumors ( $P < .01$ ). This study

264 covers a large span of time (17 years). Improvements in monitoring technology along  
265 with increased surgeon expertise over the time span contribute bias to the analysis. In  
266 addition, the authors report a recent trend to perform subtotal resection in larger tumors in  
267 efforts to preserve the anatomical integrity of the nerve.

268

269 In 1991, Kwartler<sup>14</sup> performed a retrospective analysis of 244 VS patients who underwent  
270 microsurgical resection at a single institution between 1986 and 1987. All patients had  
271 tumor resection via the translabyrinthine approach. Eighty-nine patients were monitored,  
272 and 155 patients were unmonitored. EMG was measured using bipolar hookwire  
273 electrodes in the facial musculature and direct electrical stimulation using a monopolar  
274 probe with constant-current stimulus from 0.05 to 3 mA. Monitored patients had a  
275 statistically significant better FN outcome in the perioperative period; however, this  
276 advantage was not seen at 1 year of follow-up. Subanalysis performed with tumor size  
277 found worse facial function in tumors >2.5 cm ( $P < .01$ ).

## 278 **SYNTHESIS OF RESULTS**

279 Level 3 data suggests the use of ICNM of the FN during VS surgery leads to better facial  
280 function outcomes. The 3 studies assessed postoperative facial function in patients  
281 undergoing microsurgical resection of VSs with or without use of ICNM. Electrical  
282 stimulation offers the ability to help localize and map the course of the FN and may alert  
283 the surgeon to stretch injury by way of eliciting train or tonic activity. Larger tumors had  
284 an overall worse prognosis for postoperative FN function even with use of FN  
285 monitoring. Increased train or tonic activity along with elevated threshold currents  
286 following tumor resection were poor prognostic indicators for postoperative FN function.

## 287 **DISCUSSION**

288 The benefits of ICNM in VS surgery has been widely reported over the last few decades,  
289 and again, supported by this analysis. Interestingly, the 3 studies used in this  
290 recommendation were published in the 1990s. This reflects the paucity of surgical

291 literature providing direct comparison between monitored and unmonitored surgeries due  
292 to the now common use of ICNM during VS tumor resection.

### **Question 2**

Can intraoperative facial nerve monitoring be used to accurately predict favorable long-term facial nerve function after vestibular schwannoma surgery?

#### **Target population**

This recommendation applies to adult patients undergoing vestibular schwannoma surgery.

#### **Recommendation**

*Level 3:* Intraoperative facial nerve monitoring can be used to accurately predict favorable long-term facial nerve function after vestibular schwannoma surgery. Specifically, the presence of favorable testing reliably portends a good long-term facial nerve outcome. However, the absence of favorable testing in the setting of an anatomically intact facial nerve does not reliably predict poor long-term function and therefore cannot be used to direct decision-making regarding need for early reinneravation procedures.

### **293 STUDY SELECTION AND CHARACTERISTICS**

294 A total of 2853 candidate studies were screened and assessed for eligibility per the  
295 previous criterion and 21 studies were included in the final review.<sup>9-29</sup> The  
296 electroprognostic value of ICNM in determining good long-term postoperative facial  
297 function was the basis of the recommendation in this section. To be included as a part of  
298 this recommendation, a study had to provide a cohort of patients with assessment of pre-  
299 and postoperative FN function using an established FN function grading system, such as  
300 the HB scale or the SB scale. In addition, the method of intraoperative FN monitoring  
301 had to be described and a minimum of 1-year follow-up was required for determination  
302 of long-term outcomes. There were 15 studies that met the inclusion criteria for this  
303 recommendation.<sup>9,10,12,17-24,26-29</sup> In cases where an authoring center published multiple  
304 papers that met these criteria, only the study with the largest number of subject patients  
305 was used to avoid duplicate reporting of patient data if the patient recruitment dates  
306 overlapped. Data extraction included study design, level of evidence, number of patients,  
307 method of ICNM, electrical characteristics of the ICNM that correlated with  
308 postoperative facial function, and assessment of FN function at  $\geq 1$  year postoperatively.

309 **RESULTS OF INDIVIDUAL STUDIES, DISCUSSION OF STUDY**  
310 **LIMITATIONS, AND RISK OF BIAS**

311 All 15 studies represent Class III data, primarily due to the lack of blinded assessment  
312 and the absence of a validation set. The key results of individual studies are outlined in  
313 evidence Table 3B and are summarized within the guideline recommendations. Of the 15  
314 studies used in this analysis, 12 studies<sup>9,10,17–21,23,24,26–28</sup> identified intraoperative  
315 eletrophysiologic parameters that were predictive of “good” postoperative facial function  
316 at  $\geq 1$  year. Good function in this analysis was defined as HB I-II. Heterogeneous  
317 electroprognostic parameters were used between studies; however, all authors provided  
318 details on the criteria applied for their assessments. All the ICNM techniques made use of  
319 continuous or electrically evoked EMG activity.

320

321 In 2013, Schmitt et al<sup>23</sup> described a decade of experience with the use of monopolar  
322 pulsed constant-current stimulation at supramaximal levels that were tested medial and  
323 distal to tumor resection. These 2 measurements were used to create an amplitude ratio,  
324 which is reported as a percent dropoff. A percent dropoff of  $\leq 69\%$  yielded a predictive  
325 value of 94% for postoperative HB I-II function. This method was not reliable in  
326 predicting poor functional outcomes and marginal in predicting moderate function.

327

328 Also in 2013, Arnoldner et al<sup>10</sup> reported on the predictive value of using percent  
329 maximum values, defined as current level stimulus/maximum muscle response (see Table  
330 3 for specifics). A percent maximum of  $>50$  had a 0.9 PPV for HB I-II function. The  
331 responses obtained with 0.3-mA current at the brainstem yielded the best predictive  
332 results for HB I-II function compared to the other studied currents of 0.05, 0.1, and 0.2  
333 mA. The authors recommended this monitoring method as complementary when evoked  
334 responses do not conform to more conventional predictors.

335

336 Marin et al’s 2011 study<sup>19</sup> described 100% success in determining HB I-II outcome at 1  
337 year after surgery when the brainstem stimulation threshold was  $<0.05$  mA. This dropped  
338 to 93% if the threshold was 0.05 mA. Also in 2011, Amano et al<sup>9</sup> reported a high  
339 predictive value by using amplitude ratios gathered from continuous evoked EMG (refer

340 to Table 3 for formula specifics). This method was heralded as a real-time assessment  
341 able to facilitate warning criteria that could influence the surgeon to stop tumor  
342 dissection. An amplitude preservation ratio of >50% had a 95% probability of  
343 maintaining HB I-II at 1 year. The biggest limitation with this method would be  
344 identifying the FN root at the start of surgery to place the probe, something that may not  
345 be possible with larger tumors. Once in place, there is a need to repeatedly check for  
346 probe migration (the authors verified probe position a minimum of every 30 minutes).  
347 This type of monitoring requires a demanding continuous assessment and interpretation  
348 of the various compound muscle action potentials (CMAPs) by an experienced  
349 electrophysiologist. Finally, facial muscle groups were assessed individually as opposed  
350 to the more conventional composite assessment of facial function (results reported for  
351 558 muscles in 216 patients). The concept of using A-train time, a reflection of  
352 neurotonic discharge activity, as a prognosticator of facial function has also been  
353 reported. In 2007, Prell et al<sup>22</sup> found that an A-train time longer than 10 seconds was  
354 correlated with long-term deficits in facial function with a specificity of 81%. For the  
355 patients with A-train times of <10 seconds and normal preoperative facial function, 81%  
356 regained normal function at 1 year. Amplitude at the minimum stimulus threshold (MST)  
357 was used by Neff et al<sup>21</sup> as a prognostic indicator of function at 1 year after surgery.  
358 Applying a logistic regression model, the probability of achieving HB I-II was 98% when  
359 MST was  $\leq 0.05$  mA and response amplitude was  $>240$   $\mu$ V. Independently, the 2  
360 parameters were not as sensitive. The authors cautioned that amplitude results were  
361 technique-dependent, with responses varying according to the contact established  
362 between probe and the FN. In 2002, a study by Nakao et al<sup>20</sup> found that ordinary or  
363 irritable patterns on EMG during the last step of tumor removal predicted 85% and 95%  
364 HB I-II function at 1 year, respectively. The last step was in reference to dissection of  
365 tumor around the porus of the internal auditory canal, which they leave for last. Silent  
366 patterns, on the other hand, were more likely associated with poor long-term outcome  
367 (HB III-VI).

368

369 In 2002, Fenton et al<sup>12</sup> provided a follow-up on a prior report on the utility of using the  
370 minimum stimulation intensity medial to the tumor after excision (MIMAE) and facial

371 function at 2-year follow-up. Consistent with their prior report,<sup>13</sup> MIMAE was again not  
372 found to be an independent predictor of long-term facial function. Another studied  
373 parameter that ultimately lacked electroprognostic value involved amplitudes responses  
374 in evoked facial muscle responses when the FN was stimulated at the brainstem.  
375 Yokoyama et al<sup>29</sup> described how this method was better at predicting time to recovery  
376 rather than ultimate functional outcome. Mandpe et al<sup>18</sup> found that by combining  
377 postresection stimulation thresholds and response amplitudes (these were obtained distal  
378 and proximal to tumor resection), the 2 had superior prognostic value than when they  
379 were used independently. By using these 2 parameters, they had a 12% false positive rate  
380 when predicting good HB function. Magliulo et al<sup>17</sup> compared 3 previously reported  
381 electroprognostic methods (see Table 3 for specifics) and found the most reliable to be  
382 ratios of stimulation intensity over the amplitude evoked responses when compared to  
383 amplitudes of train activity or amplitude of evoked response at the brainstem  
384 postresection of tumor. The ratios were helpful in predicting HB I-II outcomes at 1 year;  
385 however, they were not reliable in predicting poor outcomes. The study was limited by  
386 small size and retrospective analysis. A prospective analysis of 109 patients by Zeitouni  
387 et al<sup>28</sup> in 1997 found good prognostic value in the minimum stimulus thresholds obtained  
388 at the brainstem post-tumor resection. A stimulus threshold of <0.1 mA predicted good  
389 facial function at 1 year in 87% of their cohort. Conversely, higher thresholds were not  
390 predictive of poor outcomes. Selesnick et al<sup>24</sup> grouped meningioma and VS patients in a  
391 retrospective study in 1996. A stimulation threshold of  $\leq 0.2$  mA was predictive of good  
392 long-term facial function. Again, poor function was not reliably measured using this  
393 parameter. The results do not differentiate between the 2 different pathologies included in  
394 the study cohort, VS and meningioma. The authors mention that meningiomas comprised  
395 14% of tumors, but no further differentiation in electrical activity was reported between  
396 the 2 groups. Taha et al<sup>27</sup> used amplitude ratios measured postresection at the brainstem  
397 and distally at the internal auditory canal, and determined that a ratio of 2:3 was  
398 predictive of good long-term function. Statistical analysis, however, was not reported,  
399 and the study cohort was small at 20 patients. Silverstein et al<sup>26</sup> used the minimum  
400 current levels needed to elicit a response at the brainstem after tumor resection and found  
401 a strong correlation with good facial function when responses were elicited at  $\leq 0.1$  mA.

402 The poor outcomes, however, could not be predicted. Lalwani et al<sup>15</sup> concluded that good  
403 long-term FN function correlated well with thresholds of 0.2 volts (V) or less at the  
404 brainstem, posttumor resection.

#### 405 **SYNTHESIS OF RESULTS**

406 Level 3 data suggest wide variability in ICNM protocols with multiple different  
407 electroprognostic parameters found to accurately predict good long-term postoperative  
408 FN function following VS surgery. Successful parameters included postresection  
409 stimulation currents or thresholds, response amplitudes, and continuous EMG patterns.  
410 Ratios using a combination of these parameters were also successfully applied. Of the  
411 various stimulating probes used for direct nerve stimulation, monopolar devices were  
412 preferred or reported as most consistent by various groups.<sup>9,11,12,15,17–19,23,24,26–30</sup>

#### 413 **DISCUSSION**

414 Evidence suggests that various methods can be successfully used to predict good  
415 postoperative FN function following VS resection. While several electroprognostic  
416 parameters were identified as positive predictors of good functional outcome, none of  
417 them could consistently predict poor long-term function. The lack of consistency in  
418 methods by authors was driven by institutional experience, comfort level of the surgical  
419 team, availability of specific equipment, and ultimately, the presence of an independent  
420 electrophysiology service. Continuous EMG monitoring, such as when evaluating for  
421 tonic or train activity, is laborious and requires a dedicated team member for continuous  
422 assessment throughout tumor resection. This is also a task that requires a specific skillset  
423 for interpretation. Other methods, such as postresection thresholds at the brainstem, are  
424 not as laborious; however, even these measurements are afflicted by confounding factors,  
425 such as variability in equipment and their specific electrical settings. The desired benefit  
426 of using electroprognostic parameters to predict good functional outcome lies in the  
427 ability to counsel patients on the timing of surgical intervention for facial rehabilitation.  
428 An observation period of 12 to 18 months is typically adhered to in patients with  
429 postoperative paresis or paralysis and anatomically intact FN to allow for spontaneous  
430 return of function. If a reliable electrical parameter predictive of ultimate good facial  
431 outcome is possessed, the clinician can confidently counsel patients to proceed with

432 conservative management and postpone early surgical dynamic facial rehabilitation.  
433 Conversely, none of the parameters proved to successfully predict poor functional  
434 outcome. This is a reflection on the limitation of electrical currents in distinguishing  
435 neuropraxia from axonotmesis or neurotmesis at a single time point, following resection.

### **Question 3**

Does an anatomically intact facial nerve with poor electromyogram electrical responses during intraoperative testing reliably predict poor long-term facial nerve function?

#### **Target population**

This recommendation applies to adult patients undergoing vestibular schwannoma surgery.

#### **Recommendation**

*Level 3:* Poor intraoperative electromyogram electrical response of the facial nerve should not be used as a reliable predictor of poor long-term facial nerve function.

## 436 **STUDY SELECTION AND CHARACTERISTICS**

437 A total of 2853 candidate studies were screened and assessed for eligibility per the  
438 previous criterion and 21 studies were included in the final review.<sup>9-29</sup> The  
439 electroprognostic value of ICNM in determining poor long-term postoperative FN  
440 function was the basis of the recommendation in this section. To be included as a part of  
441 this recommendation, a study had to provide a cohort of patients with assessment of pre-  
442 and postoperative FN function using an established FN function grading system, such as  
443 the HB scale or the SB scale. In addition, the method of intraoperative FN monitoring  
444 had to be described and a minimum of 1 year of follow-up was required for the  
445 determination of long-term outcomes.

## 446 **RESULTS OF INDIVIDUAL STUDIES, DISCUSSION OF STUDY**

### 447 **LIMITATIONS, AND RISK OF BIAS**

448 All studies were thought to represent Level 3 data, primarily due to the lack of blinded  
449 assessment and the absence of a validation set. The key results of individual studies are  
450 outlined in evidence Table 3C and are summarized within the guideline  
451 recommendations. Of the 21 studies used in this analysis, 4 studies discussed  
452 intraoperative electrophysiologic parameters with electroprognostic value for “poor”

453 postoperative facial function at  $\geq 1$  year.<sup>9,11,20,22</sup> Poor function in this analysis was defined  
454 as HB grade IV or greater. There was a heterogeneous methodology used in  
455 electroprognostic parameters; however, all authors provided details on the criteria applied  
456 for their assessment. All the ICNM techniques made use of continuous or electrically  
457 evoked EMG activity. Most studies listed below were described in detail in the earlier  
458 sections of this paper; therefore, only highlights pertaining to the question at hand will be  
459 included in this section.

460

461 In 2012, Carlson et al<sup>11</sup> specifically evaluated long-term facial outcomes in patients with  
462 poor electrical response after tumor resection in anatomically intact nerves. They could  
463 effectively prove that absence of electrical response did not definitively imply poor  
464 functional outcome, which was defined as HB IV-VI. Although the study cohort was  
465 small, at 11 patients, only 36% of the patients with electrical silence ultimately developed  
466 poor function and 18% of patients ( $n = 2$ ) reached HB II status. These results speak  
467 against committing patients to immediate intraoperative FN grafting because of the  
468 possibility for spontaneous recovery. In 2011, Amano et al<sup>9</sup> used a logistic regression  
469 analysis of amplitude preservation ratios as a risk assessment tool for surgeons. Ratios of  
470  $<40\%$  carried a higher risk of poor facial function at 1 year and was the authors' own  
471 personal indicator to stop tumor resection to reduce the chances of a severe facial palsy.  
472 This indicator, however, did not seem to be part of a strict protocol, and further details or  
473 statistical analysis were not provided. Duration of A-train activity was a negative  
474 predictive factor as discussed by Prell et al<sup>22</sup> in 2007. A-train time  $>10$  seconds was  
475 associated with a minimum of a 2-grade drop in HB function in the early and late  
476 postoperative period ( $P < .001$  and  $P < .015$ , respectively). A sensitivity of 57.1% and  
477 specificity of 81% was reported for poor long-term facial outcomes. In 2002, Nakao et  
478 al<sup>20</sup> found that silent patterns on EMG were predictors of poor facial function; however,  
479 this was also based on a very small cohort (2/11 patients or 11%).

480

481 The remaining studies were unable to identify reliable independent parameters for poor  
482 long-term functional outcomes. This included supramaximal stimulation (SMS) of  
483 proximal to distal ratios,<sup>23</sup> the concept of percent of maximum (current

484 stimulus/maximum muscle response),<sup>10</sup> maximum stimulus thresholds (MST),<sup>21,26</sup>  
485 minimum stimulation thresholds (ST),<sup>19,24,28</sup> minimum stimulation intensity after tumor  
486 excision (MIMAE),<sup>12</sup> or voltage of evoked amplitudes<sup>15,29</sup> and a combination or ratios of  
487 the response thresholds and amplitudes.<sup>17,18,27</sup>

## 488 **SYNTHESIS OF RESULTS**

489 Level 3 evidence suggests that A-train duration, amplitude ratios, absent electrical  
490 responses, and silent EMG patterns are potential prognosticators for poor facial function  
491 outcomes. Although a silent pattern or A-train EMG activity were prognostic indicators  
492 for poor function, patients with A-train activity and even absent electrical stimulation  
493 after tumor resection were also shown to still have opportunity for spontaneous recovery  
494 in the long term. Therefore, the absence of electrical stimulation after tumor resection  
495 does not necessarily commit patients to permanent facial paralysis.

## 496 **DISCUSSION**

497 Level 3 data do not support the use of specific electroprognostic criteria to reliably  
498 predict poor facial function after VS surgery. Although a handful of parameters were  
499 presented as potential predictors, none had strong predictive value or were powered to do  
500 so. The strongest argument against using electrical markers as predictors for poor  
501 function was based on observation that patients with electrical silence, or absent  
502 responses at the end of surgery, did not necessarily develop a permanent facial paralysis.  
503 Whereas several markers can be reliably used to predict good facial function, the ability  
504 to predict poor function is still limited. Because we cannot reliably predict poor long-term  
505 FN function with intraoperative electroprognostic testing, early facial reanimation should  
506 not be employed unless nerve transection is certain.

## 507 **COCHLEAR NERVE MONITORING**

**Question 4**

Should intraoperative eighth cranial nerve monitoring be used during vestibular schwannoma surgery?

**Target population**

This recommendation applies to adult patients undergoing vestibular schwannoma surgery with measureable preoperative hearing levels and tumors <1.5 cm.

**Recommendation**

*Level 3:* Intraoperative eighth cranial nerve monitoring should be used during vestibular schwannoma surgery when hearing preservation surgery is attempted.

**508 STUDY SELECTION AND CHARACTERISTICS**

509 A total of 1849 candidate studies were screened and assessed for eligibility per the  
510 previous criterion and 7 studies were included in the final analysis.<sup>31–37</sup> The value of  
511 ICNM in hearing preservation was the basis of the recommendation in this section. In  
512 order to be included as a part of this recommendation, a study had to provide a cohort of  
513 patients with assessment of pre- and postoperative hearing function using an established  
514 system, such as the 1995 AAO-HNS or the GR hearing classification system, or  
515 presented data using WRS and PTA for defining hearing status, or had individual patient  
516 data presented such that the latter criteria could be applied and analyzed. In addition, the  
517 method of intraoperative cochlear nerve monitoring had to be described. Data extraction  
518 included study design, level of evidence, number of patients, tumor characteristics,  
519 method of ICNM, and the electrical characteristics that correlated with postoperative  
520 hearing function.

**521 RESULTS OF INDIVIDUAL STUDIES, DISCUSSION OF STUDY****522 LIMITATIONS, AND RISK OF BIAS**

523 All studies were thought to represent Level 3 data, primarily due to the lack of blinded  
524 assessment and the absence of a validation set. The key results of individual studies are  
525 outlined in evidence Table 4A and are summarized within the guideline  
526 recommendations. Of the 7 studies noted above, 5 studies provided objective  
527 comparisons between monitored and unmonitored surgeries<sup>31,33–36</sup> and are therefore used  
528 in this recommendation. Hearing preservation in this analysis was defined as any

529 measurable hearing using the AAO-HNS or GR classification systems. Each of the 5  
530 studies will be described briefly.

531

532 In 2008, Piccirillo et al<sup>31</sup> retrospectively reviewed hearing outcomes in patients with  
533 tumors <1.5 cm and normal preoperative hearing. They did not find an advantage in  
534 hearing preservation outcomes when comparing monitored versus unmonitored cases. A  
535 significant prognostic factor, however, was the presence of cranial nerve action potentials  
536 (CNAP) at the end of surgery. Those patients were statistically more likely to have good  
537 (AAO-HNS Class A) postoperative hearing ( $P < .01$ ). The presence of CNAP at the end  
538 of surgery, however, did not ensure good hearing outcomes. In their series, more than  
539 half of the patients with intact CNAP after tumor removal ultimately had poor hearing  
540 outcomes. The technical difficulties of direct eighth nerve monitoring (DENM), such as  
541 (1) initial placement of the electrode proximal to the tumor and (2) maintaining that  
542 placement throughout surgery, were highlighted and are important considerations for  
543 surgeons wishing to undertake this type of monitoring. Finally, a limitation is the lack of  
544 long-term data. The authors do not delineate the timeline in which postoperative hearing  
545 function was assessed, thereby, limiting assessment of long-term outcomes.

546

547 In 1994, Nedzelski et al<sup>33</sup> assessed cochlear compound action potentials (CAP) as an  
548 electroprognostic parameter for hearing preservation. Of the 80 patients included in the  
549 cohort, 56 were successfully monitored. This was a retrospective review that compared  
550 monitored ( $n = 56$ ) to unmonitored cases ( $n = 20$ ). All patients had preoperative  
551 serviceable hearing and tumors  $\leq 1.5$  cm. Long-term hearing assessments were provided  
552 at 1 year after treatment and hearing preservation rates were higher in the monitored  
553 group ( $P < .02$ ). Significantly better hearing preservation rates were seen in patients with  
554 a measurable intraoperative CAP following tumor resection, although 1 patient with  
555 absent CAP had serviceable hearing. CAP click threshold shifts of  $\leq 20$  dB predicted  
556 serviceable hearing levels in 71% of patients. Shifts  $>20$  dB, in turn, predicted poor  
557 hearing outcomes. Eighteen of the patients with measureable CAP either had absent or  
558 nonserviceable hearing, which speaks to the inconsistency of this parameter. This is  
559 thought to be secondary to the persistence of cochlear microphonic potentials in the distal

560 cochlear nerve despite anatomic discontinuity or dysfunction in the proximal segment.

561

562 In 1992, Harper et al<sup>35</sup> experienced significant improvement in hearing preservation rates  
563 in monitored cases using ABR. The difference was statistically significant only for small  
564 tumors ( $\leq 1.1$  cm). In their experience, preservation of Wave I and V were positive  
565 prognostic factors, with a 67% likelihood of useful hearing preservation. Postoperative  
566 hearing was measured at 3 months, limiting long-term assessment. Similar findings were  
567 reported by Slavitt et al in 1991.<sup>36</sup> In this study, a comparison was made between ABR-  
568 monitored cases versus no monitoring. Although there was no statistical advantage in the  
569 ABR group, there was a trend in that direction. The most pronounced effect was seen in  
570 tumors that were  $< 1$  cm, and none of the patients with tumors  $> 3$  cm had preservation of  
571 hearing. Kemink et al<sup>37</sup> found that complete loss of ABR Wave V was predictive of  
572 profound hearing loss. However, not all patients with complete hearing loss had an  
573 absence of Wave 5. In this cohort, hearing preservation was not achieved in patients with  
574 tumors  $> 1.5$  cm. In a smaller cohort, Kveton et al<sup>34</sup> did not find a significant difference  
575 between monitored and unmonitored cases. On the contrary, the study showed improved  
576 serviceable hearing preservation (AAO-HNS Class C or better) in the unmonitored group  
577 compared to those monitored with ABR. However, this was not a significant difference.  
578 In addition, correlation with tumor size or preoperative hearing levels was not provided.

## 579 **SYNTHESIS OF RESULTS**

580 Level 3 evidence supports the use of intraoperative cochlear nerve monitoring in hearing  
581 preservation VS surgery. The most common method employed was ABR. The presence  
582 or characteristics of Wave I and V, as well as the CAP, were the most useful parameters  
583 discussed. The benefit of monitoring was most pronounced in tumors  $< 1.5$  cm. Hearing  
584 preservation in tumors  $> 3$  cm was not observed. Long-term assessments were not  
585 uniform, with several groups reporting hearing levels measured only 3 months after  
586 treatments or not reporting timing at all. Such short-term assessments limit the ability to  
587 assess permanent function.

**Question 5**

Is direct eighth cranial nerve monitoring superior to the use of far-field auditory brain stem responses?

**Target population**

This recommendation applies to adult patients undergoing vestibular schwannoma surgery with measurable preoperative hearing levels and tumors <1.5 cm.

**Recommendation**

*Level 3:* There is insufficient evidence to make a definitive recommendation.

**588 STUDY SELECTION AND CHARACTERISTICS**

589 A total of 1849 candidate studies were screened and assessed for eligibility per the  
590 previous criteria, and 7 studies were included in the final analysis.<sup>31-37</sup> The utility of  
591 ICNM in hearing preservation was the basis of the recommendation in this section. A  
592 focus on 2 specific modalities, DENM and far-field ABR, was addressed. To be included  
593 in this recommendation, a study had to provide a cohort of patients with assessment of  
594 pre- and postoperative hearing function using an established system, such as the AAO-  
595 HNS or GR hearing classification system, or presented data using WRS and PTA for  
596 defining hearing status, or had individual patient data presented such that the latter  
597 criteria could be applied and analyzed. In addition, the method of intraoperative cochlear  
598 nerve monitoring had to be described and direct comparison between DENM and ABR  
599 provided. Of the seven studies noted above, one study met the inclusion criteria for this  
600 recommendation.<sup>32</sup> Data extraction included study design, level of evidence, number of  
601 patients, tumor characteristics, method of ICNM, electrical characteristics evaluated, and  
602 pre- and postoperative hearing levels.

603

**604 RESULTS OF INDIVIDUAL STUDIES, DISCUSSION OF STUDY****605 LIMITATIONS, AND RISK OF BIAS**

606 The study used for this recommendation was thought to represent Level 3 data, primarily  
607 due to the lack of blinded assessment and the absence of a validation set. The key results  
608 of the study are outlined in Table 4B and summarized within the guideline  
609 recommendations. This was the only study that provided a direct comparison between the  
610 2 modalities of cochlear nerve monitoring.

611

612 In 2004, Danner et al<sup>32</sup> retrospectively compared hearing preservation outcomes between  
613 the use of DENM and ABR. In their series, DENM offered improved hearing  
614 preservation outcomes when compared to ABR. The authors attributed superiority to the  
615 larger amplitudes obtained with DENM, which in turn required less data averaging and  
616 translated into faster, “real-time” assessment of nerve integrity. There was a bias in  
617 choice of monitoring modality in that DENM became the preferred monitoring modality  
618 after 1995 (study range 1992–2002). They felt experience bias did not affect outcomes in  
619 this comparison because of the senior surgeon’s established expertise at the onset of the  
620 study. It was the senior surgeon’s opinion that his learning curve had plateaued at the  
621 onset of the study, which is a subjective assessment with risk for recall bias. Consistency  
622 in their surgical technique between ABR and DENM was emphasized and discussed to  
623 mitigate suspected experience bias. The numbers, however, are skewed toward the  
624 DENM group, which was double the size of ABR group, 44 versus 22 patients,  
625 respectively. Hearing preservation rates were overall highest amongst patients with  
626 tumors  $\leq 1.5$  cm, regardless of monitoring modality. Again, long-term hearing outcomes  
627 were not assessed, and the timing of postoperative hearing assessments was not specified.

## 628 **DISCUSSION FOR COCHLEAR NERVE SECTION**

629 The challenges of defining “hearing preservation” continue to plague the literature.  
630 Hearing preservation rates vary with respect to the criteria used to report them. The  
631 variability has been addressed by endorsing standardized hearing classification systems,  
632 such as the AAO-HNS or GR scales. Despite these efforts, consensus lacks on what  
633 characterizes useful or serviceable hearing. In the AAO-HNS system, Class A and Class  
634 B represent “useful” or “serviceable” hearing and constitute successful hearing  
635 preservation surgery. The equivalent in the GR scale is represented by grades I and II.  
636 Due to the variability in the reports surrounding what can be classified as successful  
637 hearing preservation, the authors opted to be inclusive of hearing levels, not just  
638 serviceable hearing, as long they were reported using a standardized system or provided  
639 PTA or WRS levels. Applying the aforementioned serviceable hearing criteria to the  
640 entire analysis would have been too restrictive given the limited number of studies  
641 available for this article. The questions posed in the cochlear nerve section will be

642 discussed in tandem as they include only 7 studies, compared to the larger amount of  
643 literature available for the FN section.

644

645 The data extracted from modern day reports supported using ICNM for hearing  
646 preservation in patients with preoperative hearing and small tumors. The benefit was seen  
647 with ABR or DENM. A tumor size cutoff of  $\leq 1.5$  cm was identified as being more likely  
648 to provide hearing preservation than larger tumors. Hearing outcomes in larger tumors  
649 were poor regardless of preoperative hearing status or monitoring modality. The biggest  
650 challenge with neuromonitoring of the cochlear nerve involves the technical aspects and  
651 delayed feedback. ABR is plagued by delay issues due to the data averaging that is  
652 required to assess changes in function. To circumvent this, direct cochlear nerve  
653 monitoring has been used instead. The technical requirements and challenges of  
654 performing direct cochlear nerve monitoring, however, were made apparent in various  
655 reports. They range from the inability to place electrodes at the nerve root exit zone prior  
656 to tumor resection to the difficulty in keeping the probes in place throughout the duration  
657 of surgery or securing the probe without causing iatrogenic damage to the nerve. Finally,  
658 factors such as the presence of excess cerebrospinal fluid or blood, the stimulation  
659 voltage used to elicit responses, or the interference of electrocautery stimuli have all been  
660 reported to alter responses and the interpretation of results. Dedicated, well-trained  
661 electrophysiologists are important members of a hearing preservation team, and most will  
662 argue are a necessity.

663

664 In summary, ICNM monitoring has a role in hearing preservation VS surgery. Although  
665 there are limitations, Level 3 evidence supports its use. When available, direct eighth  
666 nerve monitoring should be employed as well, or in addition to ABR, because of the  
667 more immediate real-time responses that can potentially alert the surgeon to noxious  
668 stimuli or manipulations. Not all centers have the capability to perform DENM or the  
669 electrophysiologists to properly interpret the information during surgery, which limit its  
670 widespread implementation. It should also be highlighted that our assessment of the  
671 superiority of DENM in hearing preservation surgery is based on 1 study, and, as such,  
672 caution is advised in implementing drastic neuromonitoring changes to an already

673 successful surgical team. More studies and data are needed to better assess this electrical  
674 modality.

## 675 **CONCLUSION AND KEY ISSUES FOR FUTURE INVESTIGATIONS**

676 The goals of VS surgery have shifted over the years. The safety profile of these surgeries  
677 has continued to improve, and modern-day mortality is at an all-time low. As a result, a  
678 great deal of focus is now placed on minimizing morbidity, including hearing loss and  
679 facial paresis. The current expectation is that complete tumor resection is to be  
680 undertaken with a serious intent to achieve good postoperative facial function. A similar  
681 concept has been adopted in patients with existing preoperative hearing. Although the  
682 primary goal of VS surgery is still to achieve safe and complete tumor resection, a shift  
683 into subtotal resections with the hope of preserving these 2 functions has become more  
684 widely accepted. The benefits of using ICNM has been accepted and is supported in this  
685 analysis. Despite the best of surgical techniques and electrophysiology equipment,  
686 surgical outcomes are still bound by tumor characteristics, such as size. Large tumors are  
687 more likely to result in facial paralysis and hearing loss when compared to small tumors.

688

689 As technology continues to evolve and the comfort level of surgical teams continues to  
690 improve, clinicians will hopefully learn more about specific parameters that will help as  
691 reliable prognosticators of functions. Although several factors were discussed in this  
692 review, the sensitivity and specificity profile of each will need to be validated and  
693 reproduced in future studies. More prospective analyses will be needed to help with this  
694 endeavor.

## 695 ***Conflict of Interest (COI)***

696 The Vestibular Schwannoma Guidelines Task Force members were required to report all  
697 possible COIs prior to beginning work on the guideline, using the COI disclosure form of  
698 the AANS/CNS Joint Guidelines Committee, including potential COIs that are unrelated  
699 to the topic of the guideline. The CNS Guidelines Committee and Guideline Task Force  
700 Chair reviewed the disclosures and either approved or disapproved the nomination. The  
701 CNS Guidelines Committee and Guideline Task Force Chair are given latitude to approve  
702 nominations of Task Force members with possible conflicts and address this by

703 restricting the writing and reviewing privileges of that person to topics unrelated to the  
704 possible COIs. The conflict of interest findings are provided in detail in the companion  
705 introduction and methods manuscript ([https://www.cns.org/guidelines/guidelines-  
management-patients-vestibular-schwannoma/chapter\\_1](https://www.cns.org/guidelines/guidelines-<br/>706 management-patients-vestibular-schwannoma/chapter_1)).

#### 707 ***Disclaimer of Liability***

708 This clinical systematic review and evidence-based guideline was developed by a  
709 multidisciplinary physician volunteer task force and serves as an educational tool  
710 designed to provide an accurate review of the subject matter covered. These guidelines  
711 are disseminated with the understanding that the recommendations by the authors and  
712 consultants who have collaborated in their development are not meant to replace the  
713 individualized care and treatment advice from a patient's physician(s). If medical advice  
714 or assistance is required, the services of a competent physician should be sought. The  
715 proposals contained in these guidelines may not be suitable for use in all circumstances.  
716 The choice to implement any particular recommendation contained in these guidelines  
717 must be made by a managing physician in light of the situation in each particular patient  
718 and on the basis of existing resources.

#### 719 ***Disclosures***

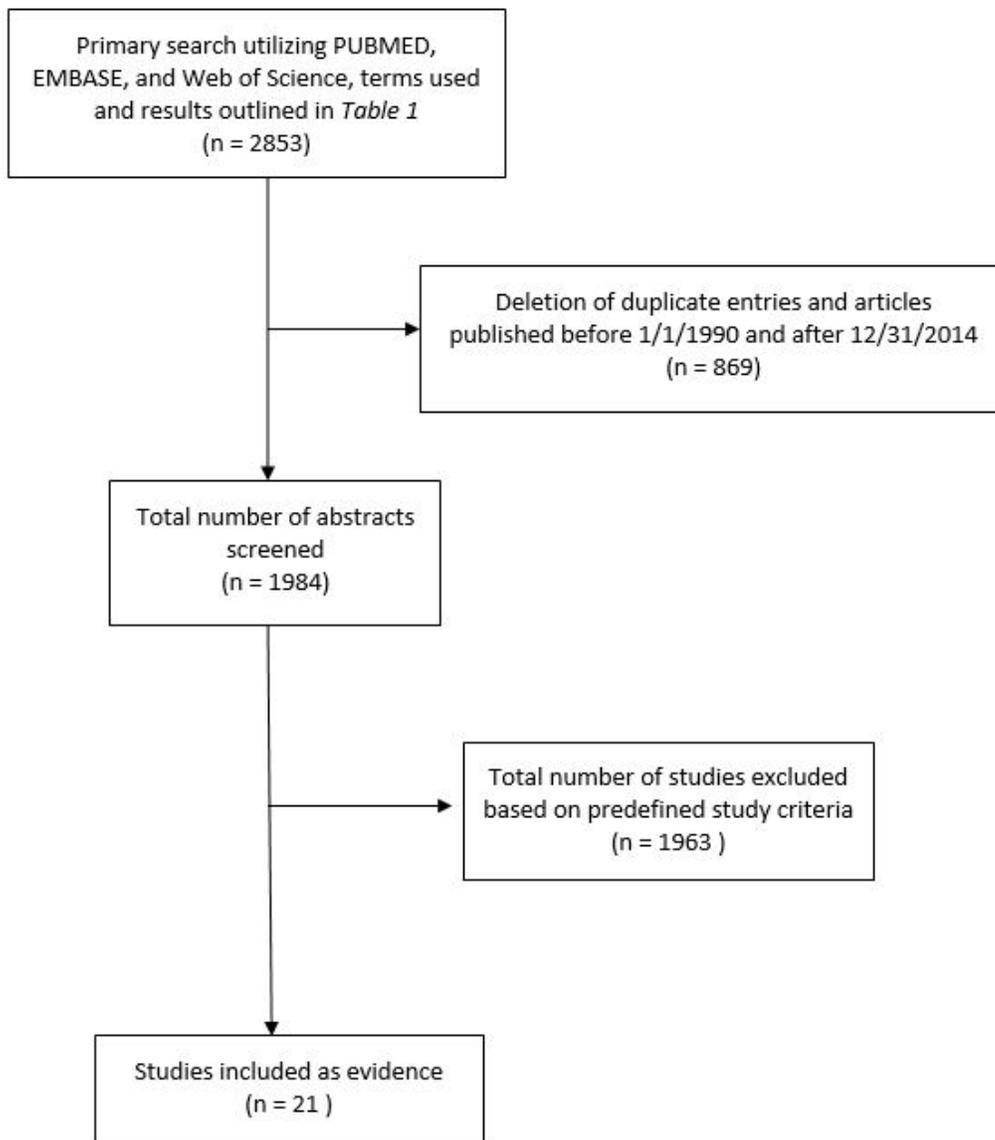
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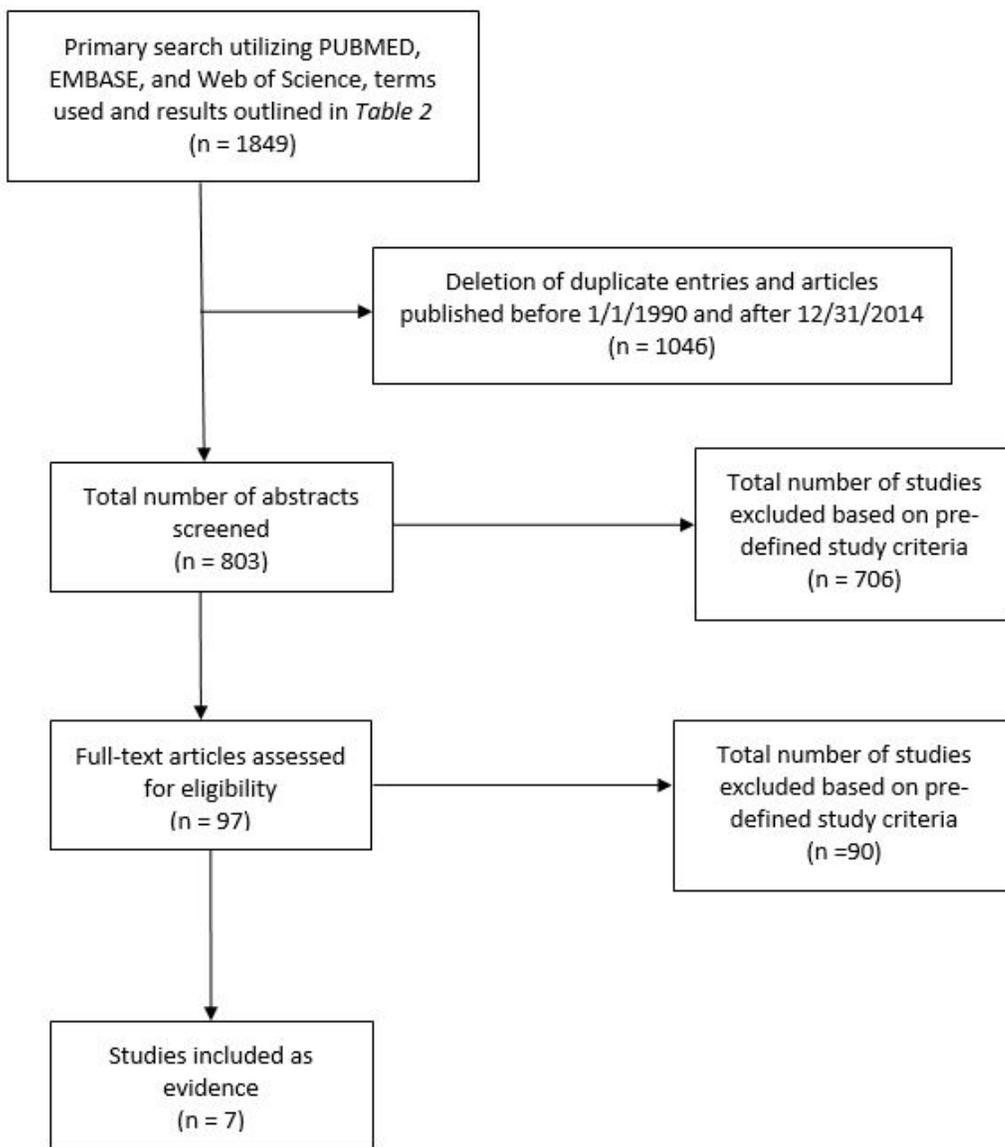
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736 **FIGURES**



737  
738 **Figure 1.** Facial nerve monitoring article flow chart.

739



740

741 **Figure 2.** Cochlear nerve monitoring article flow chart.

742

743 **Table 1.** Facial nerve monitoring primary search strategy, results, and initial pruning

<b>ENDNOTE PUBMED (NLM), searched on May 10, 2015:</b>
<p><b>Search 1:</b> All Fields, Contains “Acoustic neuroma” AND, all fields, contains, “Facial nerve” AND, all fields, contains “Surgery”</p> <p>Total 1392</p>
<p><b>Search 2:</b> All Fields, Contains “Vestibular schwannoma” AND, all fields, contains “Facial nerve” AND, all fields, contains “Surgery”</p> <p>Total 676</p>
<p><b>Search 3:</b> All Fields, Contains “Acoustic neuroma” AND, all fields, contains, “Facial nerve” AND, all fields, contains “Prognostic”</p> <p>Total 58</p>
<p><b>Search 4:</b> All Fields, Contains “Vestibular schwannoma” AND, all fields, contains “Facial nerve” AND, all fields, contains “Prognostic”</p> <p>Total 35</p>
<p>TOTAL: 2161</p> <p>TOTAL with duplicates excluded: 1519</p>
<b>ENDNOTE EMBASE, searched on May 10, 2015:</b>
<p><b>Search 1:</b> Abstract, Contains “Acoustic neuroma” AND, abstract, contains, “Facial nerve” AND, abstract, contains “Surgery”</p> <p>Total 207</p>
<p><b>Search 2:</b> Abstract, Contains “Vestibular schwannoma” AND, abstract, contains “Facial nerve” AND, abstract, contains “Surgery”</p> <p>Total 233</p>
<p><b>Search 3:</b> Abstract, Contains “Acoustic neuroma” AND, abstract, contains, “Facial nerve” AND, abstract, contains “Prognostic”</p> <p>Total 12</p>
<p><b>Search 4:</b> Abstract, Contains “Vestibular schwannoma” AND, abstract, contains “Facial nerve” AND, abstract, contains “Prognostic”</p> <p>Total 24</p>

TOTAL 476
TOTAL with duplicates excluded: 432
<b>ENDNOTE Web of Science, searched on May 10, 2015:</b>
<b>Search 1:</b> Title/Keywords/Abstract, contains “Acoustic neuroma” AND, Title/Keywords/Abstract, contains, “Facial nerve” AND, Title/Keywords/Abstract, contains “Surgery”
Total 732
<b>Search 2:</b> Title/Keywords/Abstract, contains “Vestibular schwannoma” AND, Title/Keywords/Abstract, contains “Facial nerve” AND, Title/Keywords/Abstract, contains “Surgery”
Total 599
<b>Search 3:</b> Title/Keywords/Abstract, contains “Acoustic neuroma” AND, Title/Keywords/Abstract, contains, “Facial nerve” AND, Title/Keywords/Abstract, contains “Prognostic”
Total 78
<b>Search 4:</b> Title/Keywords/Abstract, contains “Vestibular schwannoma” AND, Title/Keywords/Abstract, contains “Facial nerve” AND, Title/Keywords/Abstract, contains “Prognostic”
Total 65
TOTAL 1474
TOTAL with duplicates excluded: 902
Summary of primary search: facial nerve monitoring
Combined from 3 database searches, total of 2853 candidate articles Deleted articles published before 1/1/1990 and after 12/31/2014. Deleted all duplicate articles Total number of candidate articles after primary search = 1984

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746 **Table 2.** Cochlear nerve monitoring primary search strategy, results and initial pruning

<b>ENDNOTE PUBMED (NLM), searched on May 10, 2015:</b>
<b>Search 1:</b> All Fields, Contains “acoustic neuroma” OR All fields, Contains “vestibular schwannoma” AND All Fields, Contains “audiometric”  Total: 176
<b>Search 2:</b> All Fields, Contains “acoustic neuroma” OR All fields, Contains “vestibular schwannoma” AND All Fields, Contains “tinnitus”  Total: 456
<b>Search 3:</b> All Fields, Contains “acoustic neuroma” OR All fields, Contains “vestibular schwannoma” AND All Fields, Contains “sudden hearing loss”  Total: 183
<b>Search 4:</b> All Fields, Contains “acoustic neuroma” OR All fields, Contains “vestibular schwannoma” AND All Fields, Contains “asymmetry”  Total: 68
TOTAL: 883
<b>ENDNOTE EMBASE, searched on May 10, 2015:</b>
<b>Search 1:</b> Abstract, Contains “acoustic neuroma” OR Abstract, Contains “vestibular schwannoma” AND Abstract, Contains “audiometric”  Total: 108
<b>Search 2:</b> Abstract, Contains “acoustic neuroma” OR Abstract, Contains “vestibular schwannoma” AND Abstract, Contains “tinnitus”  Total: 253
<b>Search 3:</b> Abstract, Contains “acoustic neuroma” OR Abstract, Contains “vestibular schwannoma” AND Abstract, Contains “sudden hearing loss”  Total: 37
<b>Search 4:</b> Abstract, Contains “acoustic neuroma” OR Abstract, Contains “vestibular schwannoma” AND Abstract, Contains “asymmetry”  Total: 40
TOTAL: 438
<b>ENDNOTE Web of Science, searched on May 10, 2015:</b>

<p><b>Search 1:</b> Title/Keywords/Abstract, Contains “acoustic neuroma” OR  Title/Keywords/Abstract, Contains “vestibular schwannoma” AND  Title/Keywords/Abstract, Contains “audiometric”</p> <p>Results: 112</p>
<p><b>Search 2:</b> Title/Keywords/Abstract, Contains “acoustic neuroma” OR  Title/Keywords/Abstract, Contains “vestibular schwannoma” AND  Title/Keywords/Abstract, Contains “tinnitus”</p> <p>Results: 243</p>
<p><b>Search 3:</b> Title/Keywords/Abstract, Contains “acoustic neuroma” OR  Title/Keywords/Abstract, Contains “vestibular schwannoma” AND  Title/Keywords/Abstract, Contains “sudden hearing loss”</p> <p>Results: 124</p>
<p><b>Search 4:</b> Title/Keywords/Abstract, Contains “acoustic neuroma” OR  Title/Keywords/Abstract, Contains “vestibular schwannoma” AND  Title/Keywords/Abstract, Contains “asymmetry”</p> <p>Results: 49</p>
<p>TOTAL: 528</p>
<p>Summary of primary search: cochlear nerve monitoring</p> <p>Combined from 3 database searches, total of 1849 candidate articles  Deleted all duplicate articles  Total number of candidate articles after primary search = 803</p>

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749 **Table 3A.** Evidence table for question 1

Author/Year	Study Description	Data Class	Conclusion
Lenarz et al, 1994	<p>Retrospectively compared FN preservation rates of monitored (<math>n = 30</math>) vs. unmonitored (<math>n = 34</math>) VS patients. Compared immediate and 1-year facial outcomes (HB) between the 2 groups. Both bipolar and monopolar probes used.</p> <p>Single center, same surgeon experience between 1986 and 1991. NF status not reported.</p> <p>HB grading system used.</p>	III	<p>The use of monitoring improved immediate and long-term facial nerve outcomes (<math>P &lt; .05</math>). This was especially true in large tumors <math>&gt;1.5</math> cm. HB grade I-II at 1 year 87% (monitored) vs. 74% (no monitor). Grade III-VI at 1 year: 13% (monitored) vs. 26% (no monitor).</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients. Experience bias: same surgeon; monitoring cases occurred in later years compared to unmonitored cases.</p>

Author/Year	Study Description	Data Class	Conclusion
Silverstein et al, 1993	<p>Retrospective analysis of 121 VS patients. Compared FN outcome immediate and at &gt;1 year (modified HB score) in monitored (<math>n = 65</math>) vs. unmonitored cases (<math>n = 56</math>).</p> <p>Single center, single surgeon experience between 1974–1991. NF status not reported.</p> <p>HB grading system used.</p>	III	<p>There were a statistically greater number of patients with intraoperative eighth nerve transection in the unmonitored group (<math>P &lt; .05</math>). Monitored patients had better overall early and late facial function compared to unmonitored patients (<math>P &lt; .02</math>).</p> <p>Classification of evidence on prognosis, class III. Did not blind outcome measure. No validation in an independent “test set” of patients. Experience bias since monitoring became available later in surgeon experience.</p>

Author/Year	Study Description	Data Class	Conclusion
Kwartler et al, 1991	<p data-bbox="508 243 911 569">Retrospective analysis of monitored translabyrinthine VS cases (<math>n = 89</math>) to an unmonitored translabyrinthine VS group (<math>n = 155</math>). Looked at short-term and &gt;1-year facial function outcomes (HB score). Monopolar probe used.</p> <p data-bbox="508 611 833 716">Single center experience between 1986–1987. NF status not reported.</p> <p data-bbox="508 751 837 789">HB grading system used.</p>	III	<p data-bbox="1102 243 1430 569">Facial nerve outcomes were better at all time points in the monitored group (<math>P &lt; .05</math>) (immediate, time of discharge, 1 year). They found it particularly useful in the tumors &gt;2.5 cm.</p> <p data-bbox="1102 611 1442 936">Classification of evidence on prognosis, class III. Did not blind outcome measure. No validation in an independent “test set” of patients. Experience bias because monitoring became available later in the surgeon’s experience.</p>

750 FN, facial nerve; HB, House–Brackmann; NF, neurofibromatosis; VS, vestibular

751 schwannoma.

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754 **Table 3B.** Evidence table for question 2

Author/Year	Study Description	Data Class	Conclusion
Schmitt et al, 2013	<p>Retrospective review of facial nerve function outcome after VS resection using SMS proximal to distal dropoff ratio to predict facial nerve function at &gt;1 year postoperatively. Monopolar Prass probe used.</p> <p>The dropoff ratio was calculated: <math>1 - \{ \text{distant response} (\mu\text{V}) / \text{proximal response} (\mu\text{V}) \} \times 100\%</math></p> <p>172 VS patients analyzed with SMS data and &gt;1 year follow-up. Only patients with anatomically intact nerves were included. NF2 patients were included. Single center and single surgeon experience from 1999–2011.</p> <p>HB grading system used.</p>	III	<p>SMS proximal to distal dropoff <math>\leq 69\%</math> at the end of surgery has 94% chance of predicting HB I-II. SMS <math>&gt;69\%</math> had a 56% chance of HB I-II. Half the patients with <math>&gt;75\%</math> dropoff will still go on to have HB I-III, therefore poor predictor of long-term poor function.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Arnoldner et al, 2013	<p>Prospective study. Calculated % maximum (level current stimulus/maximum muscle response) stimulation to predict facial nerve function at &gt;1 year.</p> <p><math>\%Max = SL/Mmax</math></p> <p>After skin closure, the facial nerve was stimulated transcutaneously at the stylomastoid foramen. Increasing stimulus intensities were used until the muscle response amplitude reached a plateau; a supramaximal stimulus was then further applied. The resulting muscle response amplitude was considered the MMax. Kartush bipolar stimulator was used.</p> <p>78 VS patients with minimum 1 year follow-up and average follow-up of 523 days. Single center experience between 2005–2010. NF2 patients excluded.</p> <p>HB grading system used.</p>	III	<p>%Max calculated using a direct stimulus of 0.3 mA at the brainstem yielded the best predictive results of HB I-II. The facial nerve was stimulated at root exit zone with increasing stimulus intensities 0.05, 0.1, 0.2, and 0.3 mA.</p> <p>%Max &gt;50 had PPV of HB I-II of 0.9. Sensitivity and specificity was 0.61/0.8, respectively. For %Max of &gt;40 PPV 0.87; &gt;30 PPV 0.80; &gt;20 PPV 0.80; &gt;10 0.79. Cannot predict poor outcomes; if you get a “poor” response of %Max of 11%; you still have a high chance (79%) of good outcome.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Marin et al, 2011	<p>Retrospective analysis of 206 VS patients. Used stimulation threshold of 0.05 mA to predict long-term (1-year) facial nerve function. Monopolar probe used dose stimulation.</p> <p>Single center and multiple surgeon experience from 1996–2008. NF2 patients excluded. Patients with abnormal facial function preoperatively were excluded.</p> <p>HB grading system used.</p>	III	<p>The facial nerve was electrically stimulated at the brainstem by a monopolar probe with a 0.5 mm tip after tumor removal. Stimulation threshold of &lt;0.05 mA predicted HB I-II function in 100% of patients (<math>P &lt; .01</math>). A stimulation threshold of 0.05 predicted HB I-II in 93%. A threshold of &gt;0.05 predicted HB I-II in 82%. Cannot reliably predict poor outcomes with this method. A response was defined as &gt;100 <math>\mu</math>V.</p> <p>Classification of evidence on prognosis, level III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Amano et al, 2011	<p>Retrospective review of continual stimulation evoked facial nerve EMG. Calculated an amplitude preservation ratio (%) during and after tumor resection and evaluated whether this could predict long-term facial function at <math>\geq 1</math> year.</p> <p>The facial nerve was electrically stimulated with monopolar current 0.1–3 mA at 1 Hz and CMAP continuously monitored. Free running spontaneous EMG, as well as evoked facial EMG were used. The stimulation was via monopolar probe placed at the nerve’s root exit zone at the brainstem.</p> <p>The amplitude preservation ratio (%) = <math>\{ \text{last M-max}(\mu\text{V}) / \text{Control M-max}(\mu\text{V}) \} \times 100</math></p> <p>Control M-max = maximum CMAP amplitude at start of surgery</p> <p>Total patient sample of 232 consecutive VS patients excluding 16 patients with preoperative facial weakness, prior surgery, or radiation (<math>n = 216</math>).</p> <p>Single center experience from 2005-2008. NF2 patients excluded.</p> <p>HB grading system used.</p>	III	<p>Concluded that continual stimulation evoked facial nerve EMG could be used to determine if tumor resection should continue. An amplitude preservation ratio <math>&gt;50\%</math> or last amplitude measured (Mmax) <math>&gt; 1000 \mu\text{V}</math> was predictive of good facial function. A ratio <math>&gt;50\%</math> had 95% HB I or II. Unclear what <math>&gt;1000 \mu\text{V}</math> predicted. A discrepancy with <math>\mu\text{V}</math> criteria is that they reached this cutoff with several tumors with a large amount of remnant; thus continued to operate.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Prell et al, 2007	<p>Retrospective review of whether A-train duration measured from free running EMG could predict facial nerve function at 1 year postoperatively.</p> <p>A-train activity is defined as a close succession of <math>\geq 4</math> geometrically similar, mono- to triphasic discharges from baseline with an amplitude of at least double background noise. The sequence of <math>\geq 4</math> elements is required to build a frequency of 100–200 Hz, which must be steady over the course of any given A train. Train time is reported in seconds.</p> <p>40 VS patients with a minimum of 1-year follow-up. 26 had normal preoperative facial function and 14 did not.</p> <p>Single center experience from 1994–2003. NF2 status not reported.</p> <p>HB grading system used.</p>	III	<p>For patients with normal preoperative function and A-train time &lt;10 seconds, 81% had normal function at 1 year. For the entire cohort, an A-train time of &gt;10 seconds predicted a HB II–VI (everyone but normal HB I) in 81% at 1 year of follow up. 5 of 40 (13%) with prolonged A-train times still became HB I. Sensitivity was 57.1% and specificity 81% for the 10-second threshold.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Neff et al, 2005	<p>Prospective evaluation of 74 consecutive VS patients. Used MST and response amplitude (at MST) to predict long-term facial function at &gt;1 year. Results report data on 71 patients with postoperative anatomically intact facial nerves. Measurements were made at the brainstem or medial to tumor resection.</p> <p>Single center experience, date ranges and NF2 status not reported.</p> <p>HB grading system used.</p>	III	<p>Using their logistic regression model, an MST <math>\leq 0.05</math> mA with a response amplitude <math>&gt;240</math> <math>\mu</math>V carried a 98% probability of HB I–II at 1 year. Patients with MST <math>&gt;0.05</math> threshold OR <math>&lt;240</math>, or both still obtained HB I–II in 59% (10/17). <math>P = .015</math>.</p> <p>Predicting poor outcome was not as reliable, perhaps because of the small number of patients in this category (HB III–VI).</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Nakao et al, 2002	<p>Prospective analysis of EMG “pattern” (irritable, silent, stray, ordinary) during the critical portion of tumor removal to see if there was a correlation with long-term facial function <math>\geq 1</math> year. All had normal preoperative facial function.</p> <p>The EMG patterns were classified as follows: 1) an irritable pattern with repeated EMG responses elicited very easily and frequently by the slightest contact with the facial nerve, 2) a silent pattern with little or no EMG responses, 3) a stray pattern with persistent train responses up to 20 minutes despite temporary discontinuance of surgical manipulations, and 4) an ordinary pattern with EMG responses elicited by mechanical stimulation of the nerve but not very easily or frequently.</p> <p>49 VS patients with at least 1-year follow-up (mean 18 months). Single center, single surgeon experience from April 1998–October 1999. NF2 status not reported.</p> <p>HB grading system used.</p>	III	<p>An ordinary or irritable pattern predicted HB I–II in 85% and 95%, respectively. A silent pattern only predicted poor outcome HB III–VI in 73% (8/11).</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Fenton et al, 2002	<p>Prospective study of 67 VS patients with normal preoperative facial function collected from 2 centers. 35 patients met study criteria. Looked at various other predictive factors, including tumor size and surgical approach. Also evaluated MIMAE relationship with long-term facial function (HB score) at 2-year follow-up.</p> <p>MIMAE was obtained by using a constant current technique and a standard pulse duration of 100 seconds required to provoke a stimulus threshold event on an intact facial nerve medial to the tumor location (0.05–3.0 mA). Fisch dissector used.</p> <p>Multi-institution, same senior surgeon, in 1994. NF2 patients excluded.</p> <p>HB grading system used.</p>	III	<p>Immediate facial nerve function was the only independent predictor of facial nerve function at <math>\geq 1</math> year. MIMAE was not found to be predictive in their multivariable logistic regression model. MIMAE was significant in univariate analysis (odds ratio = 0.57).</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Yokoyama et al, 1999	<p>Retrospective analysis of 66 VS patients. Evaluated intraoperative EFMR at the brainstem after tumor removal. Responses were measured as amplitudes (<math>\mu\text{V}</math>). This was correlated with facial nerve function at 18 months postsurgery.</p> <p>Monopolar currents 0.5–0.6 mA with 100-ms pulse duration was used. Results were classified into 4 groups according to response levels.</p> <p>Single center study. NF2 status not reported.</p> <p>HB grading system used.</p>	III	<p>Amplitudes were not a good predictor of ultimate functional outcome (HB grade). They were a better predictor of time to recovery in patients who did recover; <math>&gt;150 \mu\text{V}</math>: 3 months to recovery; 100–149 <math>\mu\text{V}</math>: 6 months; 50–99 <math>\mu\text{V}</math>: 9 months; <math>&lt;50 \mu\text{V}</math>: 12 months (Mann–Whitney <math>U</math> test <math>&lt;0.05</math>).</p> <p>85% of their cohort with response amplitudes of <math>\geq 100 \mu\text{V}</math> obtained long-term function of HB I. Function was unpredictable with levels <math>&lt;100 \mu\text{V}</math>.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Mandpe et al, 1998	<p>Prospective, nonconsecutive, 44 VS patients. Stimulation threshold at brainstem (volts) and amplitude proximal and distal to tumor resection at 0.2 V above threshold. Monopolar probe used. Correlated this with FN outcomes with <math>\geq 1</math>-year follow-up.</p> <p>Single center experience between 1994–1996. NF2 patients included (<math>n = 3</math>).</p> <p>HB grading system used.</p>	III	<p>Combined threshold (<math>\leq 0.1</math> V) and amplitude (<math>\geq 200</math> <math>\mu</math>V) was superior to threshold alone at predicting early and late postoperative HB I–II FN function. False positive rate was 12% (false positive = poor HB outcome despite favorable ICNM parameters).</p> <p>Cannot reliably predict poor outcomes. 94% of patients with stimulation thresholds <math>\leq 0.1</math> V had HB I–II at 1 year. 89% of those with amplitude <math>&gt; 200</math> <math>\mu</math>V had HB I–II function. Using combined parameters, 88% had HB I–II function.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Magliulo et al, 1998	<p>Retrospective analysis of 34 VS patients. Compared 3 methods of intraoperative testing: 1) amplitude of train activity lasting 30 seconds (less or greater than 500 <math>\mu</math>V), 2) amplitude of response at brainstem at 0.05 mA (less than or greater than 500 <math>\mu</math>V), 3) ratio of stimulation intensity over the amplitude of evoked response. Monopolar probe used. These 3 methods were analyzed in relation to 10-day and 1-year HB scores.</p> <p>Two center experience between 1990–1994. NF status not reported.</p> <p>HB grading system used.</p>	III	<p>The best method was the ratio of stimulation intensity over the amplitude of evoked response which predicted a good HB score in 90% of patients. The 10% that could not be predicted were the poor outcomes (<math>P &lt; .02</math>).</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>
Zeitouni et al, 1997	<p>Prospective study of 109 VS patients evaluating correlation between the minimum intraoperative stimulus threshold and 1-year facial function outcomes (HB score). Thresholds were measured using a constant current technique with a monopolar flush tip Prass probe at the brainstem. Minimum intensity used 0.05 mA.</p> <p>Single center experience. NF2 status not reported.</p> <p>HB grading system used.</p>	III	<p>Stimulus threshold was predictive of short- and good long-term facial function (<math>P = .0032</math> and <math>P = .048</math>, respectively). Stimulus threshold of 0.05 mA or 0.1 mA predicted HB I–II in 87%. Could not predict long-term poor function.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Selesnick et al, 1996	<p>Retrospective analysis of 49 VSs or CPA meningiomas patients. 14% of cohort had CPA meningioma. Stimulation of FN at root entry zone after tumor resection. Constant current method starting at 0.1 mA with 50 <math>\mu</math>sec pulse duration. Measured stimulation threshold at 0.1-mA increments. Monopolar stimulators were used (Kartush and Prass probe). Compared this to early and 1-year facial function (HB score).</p> <p>Two center, single surgeon experience between 1991–1995. NF2 status not reported.</p> <p>HB grading system used.</p>	III	<p>A stimulation of <math>\leq 0.2</math> mA predicted good facial nerve function (HB I or II) at 1 year (<math>P &lt; .01</math>). Could not predict poor function.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Taha et al, 1995	<p>Retrospective analysis of 20 VS patients. Evaluated postresection proximal to distal ratios of amplitude of muscle action potential. They used the lowest intensity required to elicit a response (not a supramaximal stimulation). Compared this with short- and long-term (1 year) HB scores. Mean follow-up was 18 months.</p> <p>The nerve was stimulated at the brainstem and at the internal auditory meatus after tumor resection. Starting at 0.05 mA to a max of 1 mA. Monopolar stimulator probe was used with constant current at 4 pulses per second for 100 msec.</p> <p>Single center experience between 1992–1993. NF status not reported.</p> <p>HB grading system used.</p>	III	<p>A proximal to distal ratio of 2:3 was predictive of good long-term function (HB I). Although a ratio of 1:3 predicted poor function (HB IV or more), there were too few patients for adequate statistical analysis (<math>n = 5</math>), which was not performed.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>
Silverstein et al, 1994	<p>Retrospective analysis of 44 VS patients. Minimum brainstem threshold response level (constant current, square wave pulse stimulation) in mA used to predict long-term facial function (HB score) at 1 year. Silverstein probe used (monopolar).</p> <p>Single center experience between 1984–1991. NF status not reported.</p> <p>HB grading system used.</p>	III	<p>Minimum threshold response of <math>\leq 0.1</math> mA predicted good long-term facial function (HB I) in 95% of patients at least 1 year after surgery. Could not predict poor outcomes.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

755 CMAP, compound muscle action potential; CPA, cerebellopontine angle; EFMR, evoked  
756 facial muscle response; EMG, electromyogram; FN, facial nerve; HB, House–  
757 Brackmann; MMax, maximum muscle response; MIMAE, medial to the tumor after

758 excision; MST, minimum stimulus threshold; NF/NF2, neurofibromatosis; PPV, positive  
759 predictive value; SL, current stimulus; SMS, supramaximal stimulation.

760 **Table 3C.** Evidence table for question 3

Author/Year	Study Description	Data Class	Conclusion
Carlson et al, 2012	<p>Retrospective review of 11 VS patients with no measured electrical response at the end of VS surgery and anatomically intact nerves. These patients were followed for &gt;1 year to see if “no response” parameter could predict poor facial nerve function. Monopolar Prass probe was used.</p> <p>Single center, experience from 2000–2010, mean follow-up of 81.8 months. NF2 patients excluded.</p> <p>HB grading system used.</p>	III	<p>“No electrical response” was unable to predict poor (HB IV–VI) long-term facial function at &gt;1 year. Only 36% (4/11) had a poor outcome. 18% (2/11) had a HB II recovery which would be superior to current facial reinnervation procedure results.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Amano et al, 2011	<p>Retrospective review of continual stimulation evoked facial nerve EMG. Calculated an amplitude preservation ratio (%) during and after tumor resection and evaluated whether this could predict long-term facial function at <math>\geq 1</math> year. The facial nerve was electrically stimulated with monopolar current 0.1–3 mA at 1 Hz and CMAP continuously monitored. Free running spontaneous EMG, as well as evoked facial EMG were used. The stimulation was via monopolar probe placed at the nerve’s root exit zone at the brainstem.</p> <p>The amplitude preservation ratio (%) = {last M-max(<math>\mu</math>V)/Control M-max (<math>\mu</math>V)} <math>\times</math> 100</p> <p>Control M-max = maximum CMAP amplitude at start of surgery</p> <p>Total patient sample of 232 consecutive VS patients excluding 16 patients with preoperative facial weakness, prior surgery, or radiation (<math>n = 216</math>).</p> <p>Single center experience from 2005–2008. NF2 patients excluded.</p> <p>HB grading system used.</p>	III	<p>Concluded that continual stimulation evoked facial nerve EMG could be used to determine if tumor resection should continue. An amplitude preservation ratio <math>&gt;50\%</math> or last amplitude measured (Mmax) <math>&gt;1000 \mu</math>V was predictive of good facial function. A ratio <math>&gt;50\%</math> had 95% HB I or II. Unclear what <math>&gt;1000 \mu</math>V predicted. A discrepancy with <math>\mu</math>V criteria is that they reached this cutoff with several tumors with a large amount of remnant; thus continued to operate.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Prell et al, 2007	<p>Retrospective review of whether A-train duration measured from free running EMG could predict facial nerve function at 1 year postoperatively. A-train activity is defined as a close succession of at least 4 geometrically similar, mono- to triphasic discharges from baseline with an amplitude of at least double background noise. The sequence of at least four elements is required to build a frequency of 100–200 Hz, which must be steady over the course of any given A train. Train time is reported in seconds.</p> <p>40 VS patients with a minimum of 1-year follow-up. 26 had normal preoperative facial function and 14 did not.</p> <p>Single center experience from 1994–2003. NF2 status not reported.</p> <p>HB grading system used.</p>	III	<p>For the patients with normal preoperative function and A-train time &lt;10 seconds, 81% had normal function at 1 year. For the entire cohort, an A-train time of &gt;10 seconds predicted a HB II–VI (everyone but normal HB I) in 81% at 1 year of follow-up. 5 of 40 (13%) with prolonged A-Train times still became HB I. Sensitivity was 57.1% and specificity 81% for the 10-second threshold.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

Author/Year	Study Description	Data Class	Conclusion
Nakao et al, 2002	<p>Prospective analysis of EMG “pattern” (irritable, silent, stray, or ordinary) during the critical portion of tumor removal to see if there was a correlation with long-term facial function <math>\geq 1</math> year. All had normal preoperative facial function.</p> <p>The EMG patterns were classified as follows: 1) an irritable pattern with repeated EMG responses elicited very easily and frequently by the slightest contact with the facial nerve, 2) a silent pattern with little or no EMG responses, 3) a stray pattern with persistent train responses up to 20 minutes despite temporary discontinuance of surgical manipulations, and 4) an ordinary pattern with EMG responses elicited by mechanical stimulation of the nerve but not very easily or frequently.</p> <p>49 VS patients with at least 1-year follow-up (mean 18 months).</p> <p>Single center, single surgeon experience from April 1998–October 1999. NF2 status not reported.</p> <p>HB grading system used.</p>	III	<p>An ordinary or irritable pattern predicted HB I–II in 85% and 95%, respectively. A silent pattern only predicted poor outcome HB III–VI in 73% (8/11).</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

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762 CMAP, compound muscle action potential; EMG, electromyogram; FN, facial nerve;  
763 HB, House–Brackmann; MMax, maximum muscle response; NF2, neurofibromatosis.

764 **Table 4A.** Evidence table for question 4

Author/Year	Study Description	Data Class	Conclusion
<p>Piccirillo et al, 2008</p>	<p>Retrospective analysis of hearing preservation (modified Sanna class A–B) in patients undergoing surgical resection of VSs with or without use of ICNM at a single center from 1998–2005 by a single surgeon.</p> <p>99 cases of tumor &lt;1.5 cm, NF2 excluded, and with Sanna class A–B (AAO-HNS class A) preoperative hearing. Fast-ABR (5 sec) and direct cochlear nerve action potentials were obtained. These were measured by placing an electrode directly on the cochlear nerve.</p> <p>There were 2 groups. Group 1 consisted of patients with either DENM or auditory brain stem response. Group 2 included patients with no intraoperative monitoring.</p>	<p>III</p>	<p>Across all surgeries, those with ICNM (ABR, DENM, or both) did not have a statistically greater chance of hearing preservation, though it trended in this direction (26.7% vs 20.8%, <math>P = .79</math>).</p> <p>Surgical approach, either MCF or RS/RL, did not have a significant effect.</p> <p>The only statistically significant parameter was prognostic value to the presence of continued, appropriate stimulation with ICNM. Meaning, if there was a positive response at the end of the case, you were statistically likely to have preserved hearing (<math>P &lt; .01</math>, Fisher exact test).</p> <p>Author conclusions: ICNM does not help preserve hearing, but it may have prognostic value.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

<p>Nedzelski et al, 1994</p>	<p>Retrospective analysis of hearing preservation after VS resection via suboccipital approach at a single center between 1975–1993. Number of surgeons involved not specified.</p> <p>80 cases were evaluated in which hearing preservation was attempted. The measurement/prognostic value of cochlear CAPs vs no monitoring was evaluated. 56 patients had CAP measurements during the case and the remainder did not (the remainder also including 4 patients who had unreliable CAP measurements from the start). CAP was obtained by placing a silver ball electrode directly on the promontory via myringotomy. Threshold shifts were calculated as the difference between threshold measurements at the beginning and end of surgery.</p> <p>All patients had tumors <math>\leq 1.5</math> cm and SRT <math>&lt; 50</math> and WRS <math>&gt; 60\%</math>.</p> <p>Postoperative hearing was followed for 1 year after surgery.</p>	<p>III</p>	<p>Significantly better rates of hearing preservation were seen with patients in whom CAP was measured intraoperatively (38% vs 15%, <math>P = .02</math>). Results were not correlated to tumor size.</p> <p>In cases where CAP was present and unchanged, 53% of patients had serviceable hearing. One patient with complete loss of CAP had serviceable hearing.</p> <p>CAP threshold shifts of <math>\leq 20</math> dB predicted successful serviceable hearing preservation postoperatively in 71% of cases (<math>P = .001</math>). <math>&gt; 20</math> dB shift predicted loss of serviceable hearing (<math>P &lt; .003</math>).</p> <p>Author conclusions: Intraoperative CAP monitoring can be useful for hearing preservation attempts.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>
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<p>Harper et al, 1992</p>	<p>Retrospective comparison of hearing preservation rates using ABR vs no monitoring during VS resection via suboccipital approach at a single center between 1986–1991 by multiple surgeons.</p> <p>There were 90 consecutive patients who underwent a hearing preservation attempt with use of ABR. A control group of 90 patients who were matched for age, tumor size, and preoperative hearing were included.</p> <p>All patients had preoperative PTA &lt;65 dB and WRS of &gt;40%. Frequencies used to calculate PTA not specified.</p> <p>Postoperative hearing assessed at 3 months. “Preserved” hearing = PTA &lt;65 dB; “useful” preserved hearing = WRS &gt;40%.</p>	<p>III</p>	<p>When comparing the groups across all tumor sizes, the ABR group trended towards better hearing preservation and better useful hearing preservation, but the differences were not statistically significant.</p> <p>When comparing the groups for tumors &lt;1.1 cm, the ABR group had better hearing preservation (79% vs 42%) and better rates of useful preservation (47% vs 21%). This was the only size category in which there was a statistically significant difference (<math>P &lt; .05</math>). If ABR waves I and V preserved, then 67% chance of useful hearing.</p> <p>Author conclusions: ABR is better than no monitoring, particularly when tumors are &lt;1.1 cm.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>
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<p>Slavit et al, 1991</p>	<p>Retrospective analysis of hearing preservation after VS resection via posterior fossa approach at a single center between 1986–1989. Comparison included use of cochlear nerve monitoring by ABR vs no monitoring. All procedures performed by same surgical team.</p> <p>60 patients with some preoperative hearing and use of intraoperative ABR were matched with 60 patients with no ABR on the basis of tumor size (within 2 mm), preoperative PTA (at 500, 1000, and 2000 Hz), word discrimination scores, and year of operation</p> <p>Tumor size classified as “small” when &lt;2 cm, medium when 2–4 cm, and large when &gt;4 cm.</p> <p>Follow up included 1 week and 3-month postoperative audiogram.</p> <p>Preservation = anything measurable. Useful preservation = PTA &lt;60 dB, WRS &gt;40%</p>	<p>III</p>	<p>No tumor &gt;3 cm had hearing preserved.</p> <p>Rates of preservation trended towards higher with ABR, but this was not statistically significant (30% with ABR, 20% without ABR). <i>P</i> values not provided.</p> <p>The difference became more pronounced when focusing on tumors &lt;1 cm (82% preservation with ABR, 36% without) but still not statistically significant. <i>P</i> values not provided.</p> <p>The difference for the preservation of useful hearing was also not significantly different. The level of preoperative hearing did not seem to matter in terms of rates of preservation in the 2 groups.</p> <p>Author conclusions: Definite trend favoring the monitored group to improved rates of hearing preservation, but nothing statistically significant.</p> <p>ABR could not always reliably tell when the cochlear nerve was cut.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in</p>
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			an independent “test set” of patients.
Kveton, 1990	<p>Retrospective analysis of hearing preservation after VS resection via suboccipital-transmeatal approach by a single surgeon at a single center between 1987–1989. A comparison was performed between cases with intraoperative ABR to ones in which no monitoring was used.</p> <p>16 cases were evaluated in which there was a hearing preservation attempt. Nine patients had intraoperative ABR, and 7 had no form of monitoring. All tumors were <math>\leq 2</math> cm.</p> <p>The cochlear nerve was anatomically intact in all cases.</p> <p>All patients had preoperative AAO-HNS class B hearing or better. Pre- and postoperative SRT/WRS are listed for comparison. 50/50 criterion used to define “serviceable” hearing. Postoperative audiograms measured at variable intervals (from 2 months to 1 year postoperatively).</p>	III	<p>No significant difference in preoperative tumor size or postoperative hearing outcome between the monitored and unmonitored groups.</p> <p>Postoperative serviceable hearing preservation was greater in the non-ABR than monitored group (57% vs 44%, not significant).</p> <p>No formal analysis of age or tumor size or preoperative hearing status as potential confounders.</p> <p>Author conclusions: ABR not very helpful.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

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766 AAO-HNS, American Academy of Otolaryngology-Head and Neck Surgery; ABR,  
767 auditory brainstem response; DENM, direct eighth nerve monitoring; ICNM, intracranial  
768 cochlear nerve monitoring; MCF, middle cranial fossa; PTA, pure tone average; RS/RL,  
769 retrosigmoid-retrolabyrinthine; SRT, speech recognition threshold; VS, vestibular  
770 schwannoma; WRS, word recognition score.  
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772 **Table 4B.** Evidence table for question 5

Author/Year	Study Description	Data Class	Conclusion
<p>Danner et al, 2004</p>	<p>Retrospective comparison of hearing preservation rates using either ABR or DENM following VS resection via retrosigmoid approach by a single surgeon between 1992–2002.</p> <p>66 patients were included in the study for comparison of DENM and ABR. 22 patients were monitored with ABR and 44 with DENM.</p> <p>Patients with a tumor &gt;2.5 cm were excluded from the analysis as none had hearing preservation achieved.</p> <p>All patients had preoperative AAO-HNS class B hearing or better (SRT &lt;50 dB, WRS &gt;50%).</p> <p>Unclear when postoperative audiogram was performed.</p>	<p>III</p>	<p>Analysis of all cases, regardless of tumor size (0–2.5 cm), found the use of DENM had a statistically greater chance of hearing preservation than ABR (<math>P = .03</math>). However, the differences between tumor sizes in the 2 groups (ABR and DENM) is not well addressed.</p> <p>Hearing preservation analysis by size subcategories (&lt;1 cm, 1–1.5 cm, 1.5–2 cm, and 2–2.5 cm), found no statistical difference in any group between DENM and ABR.</p> <p>DENM had improved rates of hearing preservation that trended towards significance with an exception of the 2–2.5 cm group where rates were equal.</p> <p>The type of eighth nerve monitoring did not affect postoperative facial nerve preservation or CSF leak rates.</p> <p>Author conclusions: DENM gives better rates of hearing preservation in tumors &lt;2 cm. DENM is shown to have a statistically significant advantage when comparing all study patients with tumors &lt;2.5 cm.</p> <p>Classification of evidence on prognosis class III. Did not blind outcome measure. No validation in an independent “test set” of patients.</p>

773 AAO-HNS, American Academy of Otolaryngology-Head and Neck Surgery; ABR,  
774 auditory brainstem response; CSF, cerebrospinal fluid; DENM, direct eighth nerve  
775 monitoring; SRT, speech recognition threshold; VS, vestibular schwannoma; WRS, word  
776 recognition score.  
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